
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549**

FORM 8-K

**CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): March 2, 2021

Emerson Electric Co.

(Exact name of registrant as specified in its charter)

Missouri
(State or other jurisdiction
of incorporation)

1-278
(Commission
File Number)

43-0259330
(IRS Employer
Identification No.)

8000 West Florissant Avenue, St. Louis, Missouri 63136
(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: (314) 553-2000

Not Applicable
(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbols	Name of each exchange on which registered
Common Stock, \$0.50 par value per share	EMR	New York Stock Exchange NYSE Chicago
0.375% Notes due 2024	EMR 24	New York Stock Exchange
1.250% Notes due 2025	EMR 25A	New York Stock Exchange
2.000% Notes due 2029	EMR 29	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Emerson Electric Co. (the “Company”) announced that, effective on March 2, 2021, Michael H. Train has stepped down as President of the Company to become Senior Vice President and Chief Sustainability Officer of Emerson. Also effective on March 2, 2021, Emerson’s Chief Executive Officer, Surendral (Lal) L. Karsanbhai, has been appointed to the additional office of President.

As previously announced, Mr. Karsanbhai, 51, became Chief Executive Officer and a Director, effective February 5, 2021. Previously, Mr. Karsanbhai was Executive President of Automation Solutions beginning in October 2018, was Group President - Measurement & Analytical from 2016 through September 2018, and President Emerson Network Power Europe, Middle East and Africa from 2014 through 2016.

Item 7.01. Regulation FD Disclosures.

The following information is furnished pursuant to Regulation FD.

On March 3, 2021, the Company issued a press release announcing the appointments. A copy of the press release is attached hereto as Exhibit 99.1 and incorporated herein by reference.

Forward-Looking and Cautionary Statements

Statements in this Current Report on Form 8-K and furnished in Exhibit 99.1 that are not strictly historical may be “forward-looking” statements, which involve risks and uncertainties, and Emerson undertakes no obligation to update any such statements to reflect later developments. These risks and uncertainties include the scope, duration and ultimate impact of the COVID-19 pandemic as well as economic and currency conditions, market demand, including related to the pandemic and oil and gas price declines and volatility, pricing, protection of intellectual property, cybersecurity, tariffs, competitive and technological factors, among others, as set forth in the Company’s most recent Annual Report on Form 10-K and subsequent reports filed with the SEC.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

<u>Exhibit Number</u>	<u>Description of Exhibits</u>
99.1	Press Release dated March 3, 2021.
104	Cover Page Interactive Data File - the cover page XBRL tags are embedded within the Inline XBRL document.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: March 3, 2021

EMERSON ELECTRIC CO.

By: /s/ John A. Sperino
John A. Sperino
Vice President and Assistant Secretary



news release

For immediate release

Contact: Emily Caine
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Emerson Announces Strategic Appointments, Enhanced Focus on ESG with Chief Sustainability Officer

ST. LOUIS (March 3, 2021) – To enhance its focused efforts and purpose around environmental sustainability, global technology and industrial software leader Emerson (NYSE: EMR) today announced the appointment of its first chief sustainability officer. Mike Train, who has served as president since 2018 and a member of Emerson's Office of the Chief Executive (OCE) since 2016, will step down as president to take on this new and important role for Emerson. Emerson also announced the promotion of Lisa Flavin, who currently serves as vice president and chief compliance officer, to senior vice president and chief compliance officer. Flavin will also join Emerson's OCE. Emerson Chief Executive Officer Lal Karsanbhai has been named president.

"Empowering more efficient, sustainable operations both internally and for customers has been a longtime priority for Emerson," Karsanbhai said. "Mike has been a critical member of Emerson's global leadership team that oversees strategy to advance environmental, social and governance momentum, and formalizing his role in this space is an important step to continue accelerating our vital work."

In his new role as senior vice president and chief sustainability officer, Train will lead the company's environmental sustainability strategy. He will focus on advancing technologies and advocacy to enhance company operations and enable customer improvements through Emerson's solutions and partnerships. Train has served in key leadership roles over his 30-year Emerson career. He started as an international planner in 1991, before becoming vice president of planning and development for Emerson Asia-Pacific in 1994. He became president of Emerson in Japan and Korea in 1996, and then led the planning group until 2002 as corporate vice president of planning and international. In 2002, Train was named president of Emerson Process Management Asia-Pacific. From 2008 to 2010, Train led Emerson's Rosemount business. From 2010 to 2016, Train was president of global sales for Emerson Process Management before being tapped to lead Emerson's Automation Solutions business in 2016. He held this role until 2018, when he was named president.

Train currently serves as chairman of the board of trustees at Ranken Technical College and on Cornell University's Samuel Curtis Johnson Graduate School of Management Advisory Council. He was a 2008 Eisenhower Fellowship recipient. He holds a bachelor's degree in electrical engineering from General Motors Institute and a master's degree in business administration from Cornell University. Train will remain a member of the OCE.

"Our work as a company is increasingly focused on helping our customers navigate environmental and regulatory challenges," Karsanbhai said. "Mike has decades of experience across industries and a deep personal passion for sustainability. He has been tirelessly driving our work in this space and will be an incredible champion for continued progress."

“Lisa is a proven leader who has strengthened the culture of our company as an ethical place to work,” Karsanbhai continued. “Her appointment to the OCE reinforces the importance our senior leadership places on the critical areas of compliance and ethical behavior. Lisa combines demonstrated expertise in navigating a complex, global organization with an intrinsic ability to lead teams to success.”

Flavin joined Emerson in 1998 as director of internal audit. She was promoted to auditor general in February 2000, before being appointed vice president of audit in October 2000. She became chief compliance officer in 2011. In this role, she oversees Emerson’s audit, enterprise risk management, government relations and compliance functions and has responsibility to ensure Emerson maintains its disciplined approach to evaluating and improving its systems of internal control, financial reporting and compliance processes.

Flavin serves on the board of directors and is chair of the audit committee of Caleres, Inc. and BJC Healthcare. She is also on the board of directors of the United States Chamber of Commerce, served on the Professional Accounting Advisory Board at Washington University in St. Louis and is on the executive committee of The Conference Board’s Council of Chief Audit Executives. In 2004, she was selected by the U.S. Securities and Exchange Commission to participate on a panel determining the implementation of the Sarbanes-Oxley law. Flavin is active in the St. Louis community, where she serves on the board of advisors of Saint Louis Priory School and the board of directors and executive committee of Boys Hope Girls Hope. Flavin has been previously recognized by the St. Louis Business Journal as one of the “Most Influential Business Women” in St. Louis and a YWCA St. Louis Leader of Distinction. Flavin holds a bachelor’s degree in accounting from the University of Illinois at Champaign-Urbana and is a certified public accountant.

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About Emerson

Emerson (NYSE: EMR), headquartered in St. Louis, Missouri (USA), is a global technology and engineering company providing innovative solutions for customers in industrial, commercial and residential markets. Our Automation Solutions business helps process, hybrid and discrete manufacturers maximize production, protect personnel and the environment while optimizing their energy and operating costs. Our Commercial & Residential Solutions business helps ensure human comfort and health, protect food quality and safety, advance energy efficiency and create sustainable infrastructure. For more information visit [Emerson.com](https://www.emerson.com).

Forward-Looking and Cautionary Statements

Statements in this press release that are not strictly historical may be “forward-looking” statements, which involve risks and uncertainties, and Emerson undertakes no obligation to update any such statements to reflect later developments. These risks and uncertainties include the scope, duration and ultimate impact of the COVID-19 pandemic as well as economic and currency conditions, market demand, including related to the pandemic and oil and gas price declines and volatility, pricing, protection of intellectual property, cybersecurity, tariffs, competitive and technological factors, among others, as set forth in the Company’s most recent Annual Report on Form 10-K and subsequent reports filed with the SEC.